

## **MiFID II Information**

**On 3 January 2018, The Markets in Financial Instruments Directive II (MiFID II) became effective.**

**To assist intermediaries and clients, here is a summary of some key MiFid II information:**

T. Bailey Asset Management (TBAM) is a MiFID regulated entity.

TBAM acts as Investment Manager to two FCA-Authorised UCITS Funds – T. Bailey Dynamic Fund and T. Bailey Growth Fund. The Authorised Fund Manager of these funds is T. Bailey Fund Services Limited (TBFS). TBFS is a UCITS firm not a MiFID firm.

## **Legal Entity Identifiers (LEIs)**

Legal Entity Identifiers for the above entities can be found below:

T. BAILEY ASSET MANAGEMENT LIMITED	-	2138005RWEZRJPQX2653
T. BAILEY FUND SERVICES LIMITED	-	213800D7VMPL6TBJ3J51
T. BAILEY DYNAMIC FUND	-	213800ZNEKO1WW5IWS60
T. BAILEY GROWTH FUND	-	213800EQMAASTWF3SQ03

## **Non-complex status of the T. Bailey Funds**

The T. Bailey Funds have both been classified as 'non-complex' instruments.

## **The European MiFID Template (EMT)**

The EMT provides an industry-standard template containing key target market and costs and charges data for each unit class and is reviewed monthly, although the data will not change on a monthly basis.

The information contained in the EMT has been provided to assist distributors in fulfilling their obligations under MiFID II. Every effort is taken to ensure the accuracy of the data used in the EMT but no warranties are given. It is the responsibility of the distributor to take into account the specific requirements of the end investor in assessing appropriateness.

The EMT for the T. Bailey Funds can be found [here](#):