Paying your Adviser

Investor Details

Investor ID:

Investor Name:

Investor Address:

Adviser Details

Adviser Name:

Company Name:

Company Address:

IRN/FRN:

Please tell us what you want to pay to your adviser. We will use the information you provide in this section to pay your adviser.

By signing this form you are:

- agreeing to the payment of the Adviser Charges as set out in Parts a, b and/or c; and
- authorising us to accept all future instructions from your adviser for payment of Adviser Charges that you have agreed to pay them from your product.

You do not need to complete the information in Parts a, b and/or c if:

- your adviser is billing you directly for their services; or
- your adviser is not charging you for their services.

Paying your Adviser continued

Part a: Regular Investment Set-up Adviser Charge

Complete this section if you will be making regular investments to your plan and have agreed a charge for advice with your adviser.

On each amended regular investment amount, a different adviser charge value and duration may be applicable.

Every amendment to a regular investment is classed as a separate tranche on your account for adviser charging purposes. Therefore please give details of each new and existing tranche in the section below.

Spread payment of Regular Investment Set-up Adviser Charge (please complete one of the following options)

£ ☐☐☐☐☐ a month for ☐☐ months on £ ☐☐☐☐☐ of the regular investment amount

and

£ ☐☐☐☐☐ a month for ☐☐ months on £ ☐☐☐☐☐ of the regular investment amount

OR

£ ☐☐☐☐☐ a month for ☐☐ months on £ ☐☐☐☐☐ of the regular investment amount

and

£ ☐☐☐☐☐ a month for ☐☐ months on £ ☐☐☐☐☐ of the regular investment amount

Part b: Single Investment Set-up Adviser Charge

Complete this section if you will be making a single investment to your plan and have agreed a charge for advice with your adviser.

Please state the amount of Adviser Charge as either a monetary amount or percentage.

Single payment of £ ☐☐☐☐☐☐.☐☐ or ☐ %

Part c: Ongoing Adviser Charge

Complete this section if you have agreed to pay your adviser for ongoing advice.

The Ongoing Adviser Charge can be either a set amount or a percentage of your product.

1. SET AMOUNT

£ ___ . __ every month £ ___ . __ every year

£ ___ . __ every quarter £ ___ . __ every half-year

OR

2. PERCENTAGE

___ % of your product (this percentage can be taken once a year or split across a different time period)

Yearly ☐ Half Yearly ☐ Quarterly ☐ Monthly ☐

If Ongoing Adviser Charges are requested as a percentage of the full value of your plan, the total amount of Ongoing Adviser Charge will automatically increase if any additional premiums are paid into the plan.

Ongoing Adviser Charges will be calculated and deducted proportionally across all eligible funds.

Signature

Name (please print full name): ___

Signature: ___ Date: D D M M Y Y Y Y