



WS T. Bailey Funds

Dealing Form

Fund Information

SUB-FUNDS

WS T. Bailey Global Thematic Fund
WS T. Bailey Multi-Asset Dynamic Fund
WS T. Bailey UK Responsibly Invested Equity Fund
WS T. Bailey Multi-Asset Growth Fund

MANAGER

Waystone Management (UK) Limited ('WMUK')

All correspondence should be sent to the Transfer Agent:

Waystone Management (UK) Limited
PO Box 389
Darlington DL1 9UF

Telephone: 0345 922 0044
(lines are open 8.30am to 5.30pm Monday to Friday)

Email: wta-investorservices@waystone.com
Dealing: ordergroup@waystone.com

TRUSTEE

The Bank of New York Mellon (International) Limited

INVESTMENT MANAGER

T. Bailey Asset Management Ltd
Toll Bar House
Landmere Lane
Edwalton
Nottingham NG12 4DG

Email: contact@tbaileyam.co.uk
Website: www.tbaileyam.co.uk

Important Information

Before completing this form, we recommend that you read the Terms and Conditions available on the website.

All investments must meet the minimum investment levels as specified in the Prospectus, or the Key Investor Information Document (KIID).

ISAs: ISAs are subject to regulatory limitations as prescribed by the ISA Regulations.

Please refer to the HM Revenue & Customs website for further details: www.hmrc.gov.uk.

ISA Transfers: all ISA transfers must include the appropriate transfer authority and authorisation and declaration.

Monthly savings applications should be accompanied by a cheque for your first instalment and the Direct Debit authority.

Direct Debit collections are made on the fifteenth of each month, or the first working day thereafter, and invested on the next valuation date. No interest will be paid in the interim.

PAYMENT DETAILS FOR ISA INVESTMENTS

For ISA investments, payment is due immediately and subscription will not be made until we are in receipt of your funds. If you wish to pay us electronically then please send payments to the client money bank account below:

STERLING PAYMENTS ONLY

Barclays Bank Plc

Account: Waystone Management (UK) Limited Client Account

Account Number: 70249491

Sort Code: 20-67-59

Swift Code: BARCGB22

PAYMENT DETAILS FOR NON-ISA INVESTMENTS

For non-ISA investments, payment is due in line with the settlement terms of the Authorised Unit Trust or Open-Ended Investment Company. If you wish to pay us electronically then please send payments to the bank account below:

STERLING PAYMENTS ONLY

Barclays Bank Plc

Account: Waystone Management (UK) Limited Corporate Account

Account Number: 30290122

Sort Code: 20-67-59

Swift Code: BARCGB22

Alternatively, a sterling cheque drawn from a sterling bank account based in the UK should be made payable to 'Waystone Management (UK) Limited'. If payment is made using a bankers' draft or building society cheque, the account holder's full name **must** be printed on the **front** of the banker's draft. Where such endorsement is not provided, we will require you to provide evidence that the funds have been drawn on an account in your sole or joint name. Until such time that the requested evidence has been provided, we will not be able to release the proceeds of any subsequent disinvestment. In such event, we shall not be liable for any loss whether direct or indirect as a result of any failure to provide us with appropriate evidence(s) pursuant to this clause (section 2.3 in Terms & Conditions).

If you have any queries please phone 0345 922 0044 (lines are open 8.30am to 5.30pm Monday to Friday). For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Waystone Management (UK) Limited' if appropriate, to: Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF

Please note: This application, when accepted by Waystone Management (UK) Limited, will constitute a binding contract and be evidenced by the issue of a contract note or allocation letter. No other acknowledgement will be issued at the time of application and only in the event of a query will there be further communication.

Data Protection Regulations: For information about how we process the personal data on this form, including collection, use, retention and disclosure, please refer to our Privacy Notice available at www.waystone.com. If you have any questions, queries or comments please contact the Data Protection Officer by email on dataprotection@waystone.com or by post to Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF.

Ongoing Monitoring: To comply with regulations, we may need to renew or update some or all of the information you provide in this form at a later date. Consequently, we might ask you to provide additional information or reconfirm the information already provided in the future.

If you have any queries please phone 0345 922 0044 (lines are open 8.30am to 5.30pm Monday to Friday). For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Waystone Management (UK) Limited' if appropriate, to: Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF

Investment Details

Please confirm the fund and class that you wish to invest in by completing the table below.

WS T. BAILEY GLOBAL THEMATIC EQUITY FUND

Share Class Name	Minimum Lump Sum	Minimum Regular Savings	Regular Savings Amount Specify the cash value where applicable	Income/ Accumulation State where applicable	Investment Amount ISA Specify the cash value	Investment Amount Non-ISA Specify the cash value
A	£1,000	£50	£ <input type="text"/>	Accumulation	£ <input type="text"/>	£ <input type="text"/>

WS T. BAILEY MULTI-ASSET DYNAMIC FUND

Share Class Name	Minimum Lump Sum	Minimum Regular Savings	Regular Savings Amount Specify the cash value where applicable	Income/ Accumulation State where applicable	Investment Amount ISA Specify the cash value	Investment Amount Non-ISA Specify the cash value
S	£1,000	£50	£ <input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>

WS T. BAILEY UK RESPONSIBLY INVESTED EQUITY FUND

Share Class Name	Minimum Lump Sum	Minimum Regular Savings	Regular Savings Amount Specify the cash value where applicable	Income/ Accumulation State where applicable	Investment Amount ISA Specify the cash value	Investment Amount Non-ISA Specify the cash value
S	£1,000	£50	£ <input type="text"/>	Accumulation	£ <input type="text"/>	£ <input type="text"/>

WS T. BAILEY MULTI-ASSET GROWTH FUND

Share Class Name	Minimum Lump Sum	Minimum Regular Savings	Regular Savings Amount Specify the cash value where applicable	Income/ Accumulation State where applicable	Investment Amount ISA Specify the cash value	Investment Amount Non-ISA Specify the cash value
S	£1,000	£50	£ <input type="text"/>	<input type="text"/>	£ <input type="text"/>	£ <input type="text"/>

Your total ISA investment for the current tax year must not exceed the maximum overall subscription limit.

If you have any queries please phone 0345 922 0044 (lines are open 8.30am to 5.30pm Monday to Friday). For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Waystone Management (UK) Limited' if appropriate, to: Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF

Payment of Income

Please tick as appropriate: I wish for any income to be reinvested on my behalf

I wish for any income to be paid to the below bank details

TO THE MANAGER

Bank/building society:

Address:

Postcode:

Account holder name(s):

Account number:

Sort code:

Payment of Redemption Proceeds

To be completed by investors for the receipt of redemption proceeds.

TO THE MANAGER

Bank/building society:

Address:

Postcode:

Account holder name(s):

Account number:

Sort code:

If you have any queries please phone 0345 922 0044 (lines are open 8.30am to 5.30pm Monday to Friday). For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Waystone Management (UK) Limited' if appropriate, to: Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF

ISA Application and Declaration

I apply to subscribe to a stocks and shares ISA for the tax year 20 /20 and any subsequent year until further notice.

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year;
- I am a resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Waystone Management (UK) Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- the information I have given is correct to the best of my knowledge and belief. I will inform Waystone Management (UK) Limited if any information provided in this ISA Application and Declaration changes;
- I acknowledge the Additional Investor Information and I agree to the Terms and Conditions available on the website which form a legal contract binding on me. I accept that they may be varied at Waystone Management (UK) Limited's discretion, as provided herein;
- I have received, read and understood or had satisfactorily explained the Key Investor Information Document that has been provided;
- I am aware that the Prospectus and the latest Annual and if more recent Interim Fund Reports are available free of charge and I confirm that I have accessed them to the extent I believe necessary; and
- I agree that if I invest in a monthly savings plan (regular monthly payments), the amount(s) indicated will be deducted each month until further notice.

I authorise Waystone Management (UK) Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Name (please print full name):

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

ISA Transfer Declaration and Authorisation

I agree that:

- this application is subject to acceptance by the current ISA Manager;
- I will inform you of any changes in my circumstances;
- I acknowledge the Additional Investor Information and I agree to the Terms and Conditions set out in this document which form a legal contract binding on me. I accept that they may be varied at Waystone Management (UK) Limited's discretion, as provided herein;
- I have received, read and understood or had satisfactorily explained the Key Investor Information Document that has been provided to me;
- I am aware that the Prospectus and the latest Annual and, if more recent, Interim Fund Reports are available free of charge and I confirm that I have accessed them to the extent I believed necessary; and
- Applicable for current tax year ISA transfers only – I am resident in the United Kingdom for tax purposes or if not so resident, perform duties which are by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties, and will inform Waystone Management (UK) Limited if I cease to be so resident to perform such duties or be married or be in a civil partnership with a person who performs such duties.

I authorise Waystone Management (UK) Limited:

- to hold my cash transfers, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

ISA Transfer Authority

Transfer Authority (to be completed by the ISA investor)

To the existing ISA Manager:	ISA Plan Reference Number(s)	Transfer Amount	or	Percentage
Address (including postcode):	<input type="text"/>	£ <input type="text"/>		<input type="text"/> %
	<input type="text"/>	£ <input type="text"/>		<input type="text"/> %
Apply the proceeds of the ISA transfer(s) to (insert sub-fund below):				
<input type="text"/>				

I authorise my existing ISA Manager (as specified above) to transfer the ISA (account number above) to Waystone Management (UK) Limited. I authorise my existing ISA Manager to provide Waystone Management (UK) Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

Where a period of notice is required for closure/part transfer of the existing ISA, I give my consent to either (ISA investor to tick as appropriate):

- serve the full notice period before this instruction can be processed ;
- OR
- proceed immediately with the transfer and any consequential penalty which may be applied .

Name (please print full name):

Signature: Date:

Transfer Authority (to be completed by the ISA investor)

To the existing ISA Manager:	ISA Plan Reference Number(s)	Transfer Amount	or	Percentage
Address (including postcode):		£		%
		£		%
		Apply the proceeds of the ISA transfer(s) to (insert sub-fund below):		

I authorise my existing ISA Manager (as specified above) to transfer the ISA (account number above) to Waystone Management (UK) Limited. I authorise my existing ISA Manager to provide Waystone Management (UK) Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

Where a period of notice is required for closure/part transfer of the existing ISA, I give my consent to either (ISA investor to tick as appropriate):

1. serve the full notice period before this instruction can be processed ;

OR

2. proceed immediately with the transfer and any consequential penalty which may be applied .

Name (please print full name):

Signature: Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---



Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Waystone Management (UK) Limited
 PO Box 389
 Darlington DL1 9UF

Service user number

4 3 2 6 6 8

Name(s) of account holder(s)

Reference

Bank/building society account number

Instruction to your bank or building society

Please pay Waystone Management (UK) Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Waystone Management (UK) Limited and, if so, details will be passed electronically to my bank/building society.

Branch sort code

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Signature(s)

Postcode

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the Payer

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Waystone Management (UK) Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Waystone Management (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Waystone Management (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Waystone Management (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



Investor Declaration

Please note: This application, when accepted by Waystone Management (UK) Limited, will constitute a binding contract and be evidenced by the issue of a contract note or allocation letter. No other acknowledgement will be issued at the time of application and only in the event of a query will there be further communication.

The terms and conditions are available on the Waystone Management (UK) Limited website: www.waystone.com, and are also available on request from contacting Waystone Management (UK) Limited on the contact details below.

Data Protection Regulations: For information about how we process the personal data on this form, including collection, use, retention and disclosure, please refer to our Privacy Notice available at www.waystone.com. If you have any questions, queries or comments please contact the Data Protection Officer by email on dataprotection@waystone.com or by post to Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF.

Declaration: To be completed by the applicant. I confirm that I have received, read and understood or had satisfactorily explained the Key Investor Information Document that has been provided to me. I am aware that the Prospectus and the latest Annual and, if more recent, Interim Fund Reports are available free of charge and I confirm that I have accessed them to the extent I believe necessary. I request and authorise Waystone Management (UK) Limited to act in accordance with my instructions.

INVESTOR 1

Full name:

Date of birth:

Account no (if known):

Designation (if applicable):

Signature: Date:

INVESTOR 2

Full name:

Date of birth:

Account no (if known):

Designation (if applicable):

Signature: Date:

Adviser's Declaration

To be completed by Professional Advisers only, if you have not used a Professional Adviser this form is not applicable.

I accept that this application is governed by Waystone Management (UK) Limited Terms of Business, a copy of which has been or will be supplied to me.

I hereby indemnify Waystone Management (UK) Limited for any loss suffered should it subsequently be discovered that the applicant(s) was/were entitled to cancellation rights and no cancellation notice was sent as a result of the undernoted.

I confirm that the applicant(s) named in this application is/are entitled to cancellation rights under the Conduct of Business (Cancellation) Rules (tick only if cancellation rights apply).

OR

I confirm that the applicant(s) named in this application is/are not entitled to cancellation rights under the Conduct of Business (Cancellation) Rules because:

The applicant(s) is/are subject to a customer agreement waiving such rights.

The applicant(s) responded to a direct offer financial promotion advertisement as defined by the Financial Conduct Authority (Conduct of Business) Rules.

The application form was completed outside the United Kingdom as the result of either advice given by me or an advertisement issued outside the United Kingdom.

The applicant is an execution only customer and has not received advice.

Note: if the execution only customer box above is not checked, Waystone Management (UK) Limited will process the application on the basis that advice has been given to the customer.

I confirm we have undertaken anti-money laundering checks in line with the current regulations and confirm that on reasonable request we will provide Waystone Management (UK) Limited copies of all evidence gathered to meet such requirements.

Adviser's name:

Telephone number:

Mobile number:

Fax number:

Adviser/Agent Stamp and Authorisation

PART C: ONGOING ADVISER CHARGE

Complete this section if you have agreed to pay your adviser for ongoing advice.

The Ongoing Adviser Charge can be either a set amount or a percentage of your product.

1. Set amount

£ every month £ every year

£ every quarter £ every half year

OR

2. Percentage

% of your product (this percentage can be taken once a year or split across a different time period)

Yearly Half Yearly Quarterly Monthly

If Ongoing Adviser Charges are requested as a percentage of the full value of your plan, the total amount of Ongoing Adviser Charge will automatically increase if any additional premiums are paid into the plan.

Ongoing Adviser Charges will be calculated and deducted proportionally across all eligible funds.

If you have any queries please phone 0345 922 0044 (lines are open 8.30am to 5.30pm Monday to Friday). For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Waystone Management (UK) Limited' if appropriate, to: Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF

